

**Form PTO-1449 (modified)**

**Attorney Docket No.**  
**AM9-97-120**

**Serial No.**

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S) INFORMATION DISCLOSURE STATEMENT**

*(Use several sheets if necessary)*

**Applicant(s):** S. Chakrabarti et al.

**Filing Date:**

## **Group Art Unit:**

## U.S. PATENT DOCUMENTS

## Examiner

WEN LE

**Date Considered**

19 JAN 99

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

<b>Form PTO-1449 (modified)</b>	<b>Attorney Docket No.</b> AM9-97-120	<b>Serial No.</b>
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>		
<i>(Use several sheets if necessary)</i>		
<b>Applicant(s):</b>	S. Chakrabarti et al.	
<b>Filing Date:</b>	Group Art Unit: 271	

## FOREIGN PATENT DOCUMENTS

**Examiner**

WYEN LE

**Date Considered**

19 JAN 99

**Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609.  
Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).**

Form PTO-1449 (modified)	Attorney Docket No. AM9-97-120	Serial No.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		
	Applicant(s): S. Chakrabarti et al.	Filing Date: 2/7/
	Group Art Unit:	

## PUBLICATIONS / OTHER ART

Examiner Initials	Citation
UL	H. C. Arents et al., "Concept-based Retrieval of Hypermedia Information: From Term Indexing to Semantic Hyperindexing", <i>Information Processing &amp; Management</i> , Vol. 29, No. 3, 1993, pp. 373-386.
UL	J. Bichteler et al., "Document Retrieval by Means of an Automatic Classification Algorithm for Citations", <i>Information Storage and Retrieval</i> , Vol. 10, No. 7-8, July/August 1971, pp. 267-279.
UL	M. E. Frisse, "Searching for Information in a Hypertext Medical Handbook", <i>Communications of the ACM</i> , Vol. 31, No. 7, July 1988, pp. 880-886.
UL	G. H. Golub et al., "Matrix Computations", 2nd Ed., <i>The Johns Hopkins University Press</i> , 1989, pp. 218-219, 351-354.
UL	T. R. Kochtanek, "Document Clustering, Using Macro Retrieval Techniques", <i>Journal of the American Society for Information Science</i> , Vol. 34, No. 5, September 1983, pp. 356-359.
UL	F. Nanin et al., "Bibliometrics", <i>Annual Review of Information Science and Technology</i> , 1977, pp. 35-57.
UL	R. Rada et al., "Retrieval Hierarchies in Hypertext", <i>Information Processing &amp; Management</i> , Vol. 29, No. 3, 1993, pp. 359-371.
UL	E. Rivlin et al., "Navigating in Hyperspace: Designing a Structure-based Toolbox", <i>Communications of the ACM</i> , Vol. 37, No. 2, February 1994, pp. 87-96.
UL	R. W. Schwanke et al., "Cross References are Features", <i>Springer-Verlag</i> , 1993, pp 107-123.

Examiner

UYEN LE

Date Considered

19 JAN 99

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. AM9-97-120	Serial No.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT		
(Use several sheets if necessary)	Applicant(s): S. Chakrabarti et al.	Filing Date: 2/17/
	Group Art Unit: 2171	

## PUBLICATIONS / OTHER ART

Examiner Initials	Citation
W	W. M. Shaw, Jr., "Subject Indexing and Citation Indexing - Part II: An Evaluation and Comparison", <i>Information Processing &amp; Management</i> , Vol. 26, No. 6, 1990, pp. 705-718.
W	W. M. Shaw, Jr., "Subject and Citation Indexing - Part I: The Clustering Structure of Composite Representations in the Cystic Fibrosis Document Collection", <i>Journal of the American Society for Information Science</i> , Vol. 42, No. 9, 1991, pp. 669-675.
W	W. M. Shaw, Jr., "Subject and Citation Indexing - Part II: The Optimal, Cluster-based Retrieval Performance of Composite Representations", <i>Journal of the American Society for Information Science</i> , Vol. 42, No. 9, 1991, pp. 676-684.
W	D. A. Spielman et al., "Spectral Partitioning Works: Planar Graphs and Finite Element Meshes", Based on <i>UC Berkeley Technical Report UCB//CSD-96-898</i> .
W	R. Weiss et al., "HyPursuit: A Hierarchical Network Search Engine that Exploits Content-Link Hypertext Clustering", <i>MIT Laboratory for Computer Science</i> , Cambridge, MA. <i>19 JAN 99</i>

Examiner

WYEN LE

Date Considered

19 JAN 99

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).